

# EXHIBIT K

**Claims Filings.docx**

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-----Original Message-----

From: Canty, Michael P. <MCanty@labaton.com>

Sent: Thursday, May 16, 2019 10:13 PM

To: Justin Sher <JSher@shertremonte.com>

Subject: Claims Filings.docx

Justin-

Attached is the document I referenced. Just and FYI I listed six entities in my previous email because I and inadvertently forgot to include Eurizon Capital SA. The total should be seven entities. We also filed claims on behalf of Eurizon Capital SA. They are included in the attachment.

Thanks.

Mike

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**2 attachments**

**ATT00001.txt**

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**Claims Filings.docx**

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## Payments Received

Claimant	Case Name	Payment Received by Labaton Sucharow	Date Received	Docket - Y / N	Role	Counsel
AXA	Enron	USD 171,727.31	6/8/2009	N		
AXA	Tyco International, Ltd.	USD 15,723.95	7/13/2009	N		
AXA	Royal Ahold (Second Distribution)	USD 5,904.53	8/3/2009	N		
AXA	Enron (Second Distribution)	USD 24,853.17	3/23/2010	N		
AXA	Vivendi Universal (SEC) (Second Distribution)	USD 510.32	3/23/2010	N		
AXA	Royal Dutch / Shell Transport SEC Settlement Fund	USD 31,698.49	10/21/2010	N		
AXA	Tyco International, Ltd. (Second Distribution)	USD 1,365.15	11/24/2010	N		
AXA	Enron (Third Distribution)	USD 34,487.69	3/2/2011	N		
AXA	Enron (Fourth Distribution)	USD 2,546.55	2/3/2012	N		
AXA	Royal Dutch / Shell Transport Non US Settlement	USD 100,618.35	2/22/2012	N		
AXA	Royal Dutch / Shell Transport Non US Settlement (Second Distribution)	USD 8,081.02	8/6/2012	N		
AXA	Tyco SEC Fair Fund	USD 372.05	7/19/2013	N		
AXA	Royal Dutch / Shell Transport Non US Settlement (Third Distribution)	USD 424.87	11/22/2013	N		
AXA	Lehman Brothers Mortgage-Backed Securities Litigation	USD 1,225.71	pending - sent invoice on 8/8/14	N		
AXA	Lehman Brothers Mortgage-Backed Securities Litigation	USD 1,306.43	pending - sent invoice on 8/8/14	N		
AXA	Enron (Fifth Distribution)	USD 91.81	2/5/2015			
AXA	AIG Securities Litigation	USD 796.64	2/5/2015			
AXA	Citigroup Inc. Bond Litigation	USD 13,166.82	6/23/2015			
AXA	JPMorgan RMBS Settlement Securities Litigation	USD 76,400.58	4/25/2017			
AXA	AIG, Inc. 2008 Securities Litigation	USD 43,020.85	7/11/2017			
AXA	Citigroup, Inc. (Bonds) (Second Distribution)	USD 1,135.56	4/23/2018			
AXA	American International Group, Inc. (PwC, Company, Starr, and Gen Re) Securities Litigation (Second Distribution)	USD 96.71	pending - sent invoice on 7/11/18			
AXA	GS Mortgage Securities Corp. (pass-through certificates)	USD 109,486.88	12/3/2018			
AXA	Merck & Co., Inc. Vioxx Securities Litigation	USD 4,996.28	12/3/2018			
AXA	American International Group, Inc. (2008) Securities Litigation (Second Distribution)	USD 12,673.62	pending - sent invoice on 4/11/19			
BNP Paribas	International Game Technology	USD 312.11	pending - sent invoice on 8/14/13	N		
BNP Paribas	SLM Securities Litigation	USD 68.19	pending - sent invoice on 8/20/13	N		
Credit Agricole Asset Management (CAAM) Paris	Vivendi Universal (SEC) (Second Distribution)	USD 2,166.19	1/20/2010	N		





Claimant	Case Name	Payment Received by Labaton Sucharow	Date Received	Docket - Y / N	Role	Counsel
Degroof	Tyco International, Ltd.	USD 9,355.01	4/16/2009	N		
Degroof	Enron	USD 11,753.60	4/17/2009	N		
Degroof	El Paso Corp	USD 692.92	4/23/2009	N		
Degroof	American International Group, Inc. (SEC)	USD 3,491.67	7/17/2009	N		
Degroof	Cardinal Health, Inc. and Cardinal Health (SEC)	USD 1,451.57	10/5/2009	N		
Degroof	Enron (Second Distribution)	USD 2,260.47	1/21/2010	N		
Degroof	UnitedHealth Group, Inc. (options included)	USD 2,930.77	1/21/2010	N		
Degroof	Merrill Lynch & Co Inc.	USD 4,520.64	7/9/2010	N		
Degroof	Royal Dutch / Shell Transport SEC Settlement Fund	USD 2,591.47	7/9/2010	N		
Degroof	Tyco International, Ltd. (Second Distribution)	USD 812.34	9/27/2010	N		
Degroof	American International Group, Inc. (SEC) (Second Distribution)	USD 595.87	10/15/2010	N		
Degroof	Cardinal Health, Inc. and Cardinal Health (SEC) (Second Distribution)	USD 332.00	12/7/2010	N		
Degroof	El Paso Corp (Second Distribution)	USD 76.88	12/16/2010	N		
Degroof	UnitedHealth Group, Inc. (options included) (Second Distribution)	USD 715.08	1/25/2011	N		
Degroof	Enron (Third Distribution)	USD 3,341.35	2/7/2011	N		
Degroof	Parmalat Finanziaria, S.P.A. (Partial Settlement)	USD 2,425.06	3/7/2011	N		
Degroof	Merrill Lynch & Co Inc. (Second Distribution)	USD 144.46	9/27/2011	N		
Degroof	SEC v. Bank of America Corp. Fair Fund	USD 1,853.06	10/5/2011	N		
Degroof	Royal Dutch / Shell Transport Non US Settlement	USD 23,357.34	12/15/2011	N		
Degroof	Royal Dutch / Shell Transport Non US Settlement (Second Distribution)	USD 2,230.61	8/13/2012	N		
Degroof	Enron (SEC)	USD 2,368.36	9/14/2012	N		
Degroof	SEC v. Bank of America Corp. Fair Fund (Second Distribution)	USD 489.39	12/20/2012	N		
Degroof	Countrywide Financial Corp.	USD 17,691.30	1/22/2013	N		
Degroof	UnitedHealth Group, Inc. (Third Distribution)	USD 21.26	1/30/2013	N		
Degroof	Tyco SEC Fair Fund	USD 143.76	7/22/2013	N		
Degroof	Royal Dutch / Shell Transport Non US Settlement (Third Distribution)	USD 122.36	8/27/2013	N		
Degroof	National City Corporation Securities, Derivative & ERISA Litigation	USD 13,211.44	9/16/2013	N		
Degroof	CIT Group, Inc. (2008) Securities Litigation	USD 2,308.27	10/2/2013	N		
Degroof	Parmalat Finanziaria, S.P.A. (Partial Settlement) (Second Distribution)	USD 108.50	10/16/2013	N		
Degroof	Washington Mutual Securities Litigation	USD 2,582.31	11/26/2013	N		
Degroof	Enron (SEC) (Second Distribution)	USD 217.83	1/31/2014	N		
Degroof	In re Wachovia Equity Securities Litigation	USD 2,808.99	4/29/2014	N		
Degroof	Citigroup Inc. Securities Litigation	USD 29,474.77	8/25/2014	N		
Degroof	Countrywide Financial Corp. (Second Distribution)	USD 866.49	9/15/2014	N		

Claimant	Case Name	Payment Received by Labaton Sucharow	Date Received	Docket - Y / N	Role	Counsel
Degroof	Dell SEC Fair Fund	USD 339.52	11/4/2014	N		
Degroof	CIT Group, Inc. (2008) Securities Litigation (Second Distribution)	USD 564.70	11/12/2014	N		
Degroof	In re Computer Sciences Corporation Securities Litigation	USD 5,469.25	11/18/2014	N		
Degroof	AIG Securities Litigation	USD 2,705.48	12/18/2014	N		
Degroof	Merck & Co., Inc. Vytorin/Zetia Securities Litigation	USD 5,125.62	3/3/2015			
Degroof	Private Equity Litigation	USD 1,121.52	7/21/2015			
Degroof	Bank of America Corp. Securities Litigation	USD 36,812.95	7/28/2015			
Degroof	Citigroup Inc. Securities Litigation (Second Distribution)	USD 1,678.26	9/15/2015			
Degroof	Private Equity Litigation (Second Distribution)	USD 279.57	11/17/2015			
Degroof	In re Computer Sciences Corporation Securities Litigation (Second Distribution)	USD 344.65	4/18/2016			
Degroof	Countrywide Financial Corp. (Third Distribution)	USD 354.30	6/7/2016			
Degroof	Bennett v. Sprint Nextel Corp.	USD 2,378.77	8/22/2016			
Degroof	Pfizer, Inc. Securities Litigation	USD 7,176.68	10/21/2016			
Degroof	Bennett v. Sprint Nextel Corp. (Second Distribution)	USD 620.36	3/21/2017			
Degroof	Pfizer, Inc. Securities Litigation (Supplemental Distribution)	USD 1,703.05	3/24/2017			
Degroof	In re Merck & Co., Inc. Vytorin/Zetia Securities Litigation (Supplemental Distribution)	USD 334.58	4/4/2017			
Degroof	Household International, Inc. Securities Litigation (Arthur Andersen LLP)	USD 1,871.29	6/8/2017			
Degroof	Weatherford International (2012) Securities Litigation	USD 3,456.65	9/6/2017			
Degroof	Bank of New York Mellon Corp. Securities Action	USD 1,216.60	9/19/2017			
Degroof	Bank of America (2009) Securities Litigation (Second Distribution)	USD 3,994.51	9/20/2017			
Degroof	Countrywide (SEC) Securities Litigation	USD 1,553.99	3/7/2019			
Degroof	American International Group, Inc. (PwC, Company, Starr, and Gen Re) Securities Litigation (Second Distribution)	USD 337.52	3/7/2019			
Degroof	Weatherford International Ltd. (2012) (Second Distribution)	USD 209.23	3/7/2019			
Degroof	Bank of America Corporation (2011) (MERS and MBS) Securities Litigation	USD 5,298.15	pending - sent invoice on 2/5/19			
Eurizon Capital SA	Tyco SEC Fairfund (Second Distribution); HealthSouth Securities Litigation - UBS and E&Y Bondholder Partial Settlements (Second Distribution)	EUR 3,323.00	11/8/2017			
Eurizon Capital SGR SpA	Tenet Healthcare Corporation Securities Litigation (KPMG Settlement) - Third Distribution ; Schering-Plough Corporation/Enhance Securities Litigation					



Claimant	Case Name	Payment Received by Labaton Sucharow	Date Received	Docket - Y / N	Role	Counsel
	Securities Litigation; Idearc, Inc. Securities Litigation; Dell SEC Fair Fund; AIG Securities Litigation; In re Fannie Mae Securities Litigation; Merck & Co., Inc. Vytarin/Zetia Securities Litigation; Schering-Plough Corporation/Enhance Securities Litigation; Verifone Holdings, Inc. Securities Litigation; Minneapolis Firefighters' Relief Association v. Medtronic Inc.; In re Wachovia Equity Securities Litigation (Second Distribution); E*TRADE Securities Class Action; SEC v. Nortel Distribution Fund; Private Equity Litigation; Bank of America Corp. Securities Litigation; Pharmacia Corporation Securities Litigation (Third Distribution); National City Corporation (Second Distribution); Citigroup Inc. Securities Litigation (Second Distribution);					
Eurizon Capital SGR SpA	Pfizer, Inc. Securities Litigation (Supplemental Distribution); Washington Mutual Securities Litigation (Second Distribution); HealthSouth (SEC Settlement) Securities Litigation (from HealthSouth warrants) (Second Distribution); Schering-Plough Corporation/Enhance Securities Litigation (Third Distribution); Bennett v. Sprint Nextel Corp. (Second Distribution); Fannie Mae Securities Litigation (Second Distribution); In re Merck & Co., Inc. Vytarin/Zetia Securities Litigation (Supplemental Distribution); Baxter International, Inc. Securities Litigation; AIG, Inc. 2008 Securities Litigation; Weatherford International Securities Litigation; Bank of New York Mellon Corp. Securities Action; Household International, Inc. Securities Litigation (Arthur Andersen LLP); The City of Providence v. Aeropostale, Inc.; Medtronic, Inc. (2008) (Second Distribution); Verifone Holdings, Inc. Securities Litigation (Second Distribution); Yukos Oil Company Securities Litigation; Anadarko Petroleum Corp.; HealthSouth Corporation Securities Litigation (SEC Settlement) - Third Distribution; Schering-Plough Corporation (Second Distribution); CVS Caremark Corp. Securities Litigation; AMAG Pharmaceuticals, Inc. Securities Litigation; Federal National Mortgage Association (Fannie Mae) (2008) Securities Litigation (Second Distribution); Bank of America Corporation (2009) Securities Litigation (Second Distribution);	EUR 18,187.85	4/26/2018			
Eurizon Capital	NeuStar Inc. Securities Litigation; Yukos Oil Company	EUR 3,691.69	3/13/2019			

Claimant	Case Name	Payment Received by Labaton Sucharow	Date Received	Docket - Y / N	Role	Counsel
SGR SpA	Securities Litigation (Second Distribution); Spectrum Pharmaceuticals, Inc. (2013) Securities Litigation; Aeropostale, Inc. (Second Distribution); Crocs Inc. Securities Litigation; Colonial BancGroup, Inc. Securities Litigation (Second Distribution); L-3 Communications Holdings, Inc. (2014) Securities Litigation; St. Jude Medical, Inc. II (2012) Securities Litigation; American International Group, Inc. (PwC, Company, Starr, and Gen Re) Securities Litigation (Second Distribution); KLA-Tencor Corp. (options included) (Second Distribution); The Bank of New York Mellon Corp. Securities Litigation (Second Distribution); Halliburton Company Securities Litigation; Weatherford International Ltd. Securities Litigation (Second Distribution); Lehman Brothers Holdings, Inc. Securities Litigation (Equity/Debt Securities) (Ernst & Young Partial Settlement) ; Nortel Networks Corporation (SEC) (Second Distribution); Merrill Lynch Bond Action Settlement Fund (Second Distribution); Merck & Co., Inc. Vioxx Securities Litigation; VeriFone Holdings, Inc. (Third Distribution); Smart Technologies, Inc. Securities Litigation;					
Petercam	Tyco International, Ltd.	USD 3,060.70	5/6/2009	N		
Petercam	Vivendi Universal (SEC)	USD 58.14	9/23/2009	Y	Plaintiff (individual action)	Labaton Sucharow
Petercam	Vivendi Universal (SEC)	USD 2,069.49	9/23/2009	Y	Plaintiff (individual action)	Labaton Sucharow
Petercam	Vivendi Universal (SEC)	USD 36.33	10/23/2009	Y	Plaintiff (individual action)	Labaton Sucharow
Petercam	Vivendi Universal (SEC) (Second Distribution)	USD 134.71	2/3/2010	Y	Plaintiff (individual action)	Labaton Sucharow
Petercam	Vivendi Universal (SEC) (Second Distribution)	USD 2.92	2/3/2010	Y	Plaintiff (individual action)	Labaton Sucharow
Petercam	Royal Dutch / Shell Transport SEC Settlement Fund	USD 118.25	8/18/2010	N		
Petercam	Royal Dutch / Shell Transport SEC Settlement Fund	USD 5,141.58	8/24/2010	N		
Petercam	Royal Dutch / Shell Transport SEC Settlement Fund	USD 118.12	8/24/2010	N		
Petercam	Tyco International, Ltd. (Second Distribution)	USD 106.77	10/5/2010	N		
Petercam	Tyco International, Ltd. (Second Distribution)	USD 161.28	10/5/2010	N		
Petercam	Schering-Plough Corporation	USD 352.96	12/30/2011	N		
Petercam	Royal Dutch / Shell Transport Non US Settlement	USD 480.71	3/21/2012	N		
Petercam	Royal Dutch / Shell Transport Non US Settlement	USD 306.65	3/21/2012	N		
Petercam	Royal Dutch / Shell Transport Non US Settlement	USD 12,283.51	3/26/2012	N		



Claimant	Case Name	Payment Received by Labaton Sucharow	Date Received	Docket - Y / N	Role	Counsel
Petercam	Royal Dutch / Shell Transport Non US Settlement (Second Distribution)	USD 51.11	10/12/2012	N		
Petercam	Royal Dutch / Shell Transport Non US Settlement (Second Distribution)	USD 1,181.01	10/15/2012	N		
Petercam	Royal Dutch / Shell Transport Non US Settlement (Second Distribution)	USD 46.29	10/15/2012	N		
Petercam	In re SADIA SA Securities Litigation	USD 81,435.97	4/18/2013	N		
Petercam	Tyco SEC Fair Fund	USD 21.44	7/15/2013	N		
Petercam	Tyco SEC Fair Fund	USD 62.94	7/15/2013	N		
Petercam	Royal Dutch / Shell Transport Non US Settlement (Third Distribution)	USD 62.35	9/4/2013	N		
Petercam	Sunpower Securities Litigation	USD 1,095.39	12/1/2014	N		
Petercam	In re Bank of America Corp. Securities Litigation	USD 186.63	9/23/2015			
Petercam	Pfizer, Inc. Securities Litigation	USD 226.22	8/2/2016			
Petercam	Pfizer, Inc. Securities Litigation (Supplemental Distribution)	USD 53.44	pending - sent invoice on 1/9/17			
Petercam	JPMorgan Chase & Co. (SEC Fair Fund)	USD 1,652.16	10/11/2017			
Petercam	Hewlett-Packard Co. (2012 - Autonomy)	USD 383.30	11/8/2017			
Petercam	JP Morgan Chase & Co. (2012) Securities Litigation	USD 359.90	5/8/2018			
Petercam	JP Morgan Chase & Co. (2012) Securities Litigation (Second Distribution)	USD 44.04	1/22/2019			

